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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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EXAMINER

ABRISHAMKAR, KAVEH

ART UNIT

PAPER NUMBER

2131

DATE MAILED: 06/15/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/899,293

Applicant(s)

KIM, YOUNG-IL

Examiner

Kaveh Abrishamkar

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 29 March 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1 and 22-28 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1, and 22-28 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on March 29, 2006 has been entered.

2. Claims 1, and 22-28 are currently pending, claim 1 is currently amended, and claims 2-21 are cancelled, and 22-28 are newly added.

Response to Arguments

3. Applicant's arguments filed March 29, 2006 have been fully considered but they are not persuasive for the following reasons:

Regarding claim 1, the Applicant argues that the Cited Prior Art (CPA), Holloway et al. (U.S. Patent No. 5,805,801) in view of Sofer et al. (U.S. Patent No. 5,489,896), does not teach the use of "access vectors." The Examiner gives the claims and the term, "access vectors," the broadest reasonable interpretation in light of the specification. The specification states that the access vectors are bit vectors that are compared to allow access to a destination MAC address. Claim 1 states that the access vectors correspond to the MAC destination and source address. It is asserted

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that based on the broadest reasonable interpretation, the list of authorized MAC addresses of Holloway (column 3 lines 4-16), is analogous to the access vectors as delineated by claim 1.

Therefore, the rejection is given below for the pending claims 1, and 22-28 using the CPA.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 1-21 are rejected under 35 U.S.C. 103(a) as being anticipated by Holloway et al. US (5,805,801) in view of Sofer et al. US (5,489,896),

As per claim 1: Holloway discloses A MAC (media access control) address based communication restricting method using access vectors stored in address tables, wherein the access vectors indicate whether two nodes, corresponding to a MAC source address and a MAC destination address, may access each other, (Col 3, lines 15-16) the method comprising the steps of: Receiving packet data upon request of communication through at least one port of a plurality of ports of an Ethernet switch (Coll 6, lines 27-30); Holloway teaches obtaining the destination MAC addresses

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through the discovery phase (item 145 of FIG. 10 and item 131 of FIG 11) but Holloway doesn't explicitly teach Reading a MAC destination address and a MAC source address included in the received packet data. However Sofer discloses a MAC address-based communication access control method (Col 3, lines 49-52). Where he teaches the using of a MAC address stripper to extract the source and destination MAC addresses from a packet Col 4, lines 13-22). therefore it would have been obvious to one ordinary skilled in the art at the time the invention was made to modify Holloway's invention with the teachings of Sofer to include a MAC stripper to extract the MAC destination and source addresses from the received packets. One would be motivated to do so in order to provide the system with ability to determine where did the packet come form and where the packet is headed to and if it's headed to a protected destination. Detecting In an address table, access vectors corresponding to the MAC destination and source address (FIG 6 and Col 9, Lines 49-51 with Col 3, lines 7-9 ! Holloway teaches using combination of data structures AAL (access authorization List) and ICD (interconnected device list) the ICD will contain information on connected MAC addresses to the specific Managed hub while the AAL will contain the security access control information for each device. The combination of those two will perform the same function as the address table) Denying access if the access vectors of the MAC destination and source addresses are not matched (Col 3, Lines 9-11; if the managed hub detects an unauthorized station connecting to the LAN the hub disables the port disabling the port on the hub will perform the step of denying access).

As per claim 22: Holloway discloses a packet switch communication method, comprising the steps of:

receiving packet data upon request of communication through at least one port of a plurality of ports of said packet switch (Coll 6, lines 27-30);

determining whether said received MAC source address is stored in an address table having an access vector indicating whether allowance for access of client nodes is made or not, wherein each client node is identified by at least corresponding MAC address (item 132 of FIG 11 and Col 11 lines 14-16);

when it is determined that said MAC source address is stored in said address table, determining whether an access vector corresponding to said received MAC destination address is matched with an access vector corresponding to said received MAC source address, wherein both of the access vectors are stored in said address table (Col 11, lines 46-50);

if the access vectors corresponding to said received MAC destination and source addresses are matched, transmitting said received packet data to a MAC destination address (Col 3, Lines 9-11); and

denying access if said access vectors of said received MAC destination and source addresses are not matched (Col 3, Lines 9-11; if the managed hub detects an unauthorized station connecting to the LAN the hub disables the port disabling the port on the hub will perform the step of denying access).

Holloway teaches obtaining the destination MAC addresses through the discovery phase (item 145 of FIG. 10 and item 131 of FIG 11) but Holloway doesn't explicitly teach Reading a MAC destination address and a MAC source address included in the received packet data. However Sofer discloses a MAC address-based communication access control method (Col 3, lines 49-52). Where he teaches the using of a MAC address stripper to extract the source and destination MAC addresses from a packet Col 4, lines 13-22). therefore it would have been obvious to one ordinary skilled in the art at the time the invention was made to modify Holloway's invention with the teachings of Sofer to include a MAC stripper to extract the MAC destination and source addresses from the received packets. One would be motivated to do so in order to provide the system with ability to determine where did the packet come form and where the packet is headed to and if it's headed to a protected destination. Detecting In an address table, access vectors corresponding to the MAC destination and source address (FIG 6 and Col 9, Lines 49-51 with Col 3, lines 7-9 ! Holloway teaches using combination of data structures AAL (access authorization List) and ICD (interconnected device list) the ICD will contain information on connected MAC addresses to the specific Managed hub while the AAL will contain the security access control information for each device. The combination of those two will perform the same function as the address table) Denying access if the access vectors of the MAC destination and source addresses are not matched (Col 3, Lines 9-11; if the managed hub detects an unauthorized station connecting to the LAN the hub disables the port disabling the port on the hub will perform the step of denying access).

As per claim 23: Holloway discloses the method as set forth in claim 22, further comprising the steps of:

configuring an anti-hacker table comprising information pertaining to a plurality of the client nodes and a plurality of server nodes of a network, wherein each server node is identified by at least a corresponding MAC address (Col 7, Lines 7-13 and FIG 7);

when it is determined that said received MAC source address is not stored in said address table, determining whether information corresponding to said received MAC source address is stored in said anti-hacker table (item 135 of *FIG 11*, Col 11 lines 21-29, item 137 of *FIG 11* and Col 11 lines 31-34); and

when it is determined that said received MAC source address is stored in said anti-hacker table, modifying an access vector in said MAC source address to a security key, to thereby store the modified address in said address table (item 320 of *FIG 13* and Col 13 lines 34-36 / setting the filter in Holloway system perform the task of setting security by defining which MAC addresses are allowed or denied access to the destination MAC addresses).

As per claim 24: Holloway discloses the method as set forth in claim 23, further comprising the steps of:

adding a port number, corresponding to the port through which said packet data was received, to a storage area corresponding to said MAC source address received in said anti-hacker table (item 265 of *FIG 12* and Col 12 lines 17-20).

As per claim 25: Holloway discloses a packet switch communication method, comprising the steps of:

receiving packet data upon request of communication through at least one port of a plurality of ports of said packet switch (Col 6, lines 27-30);

determining whether said received MAC source address is stored in an address table having an access vector indicating whether allowance for access of client nodes is made or not, wherein each client node is identified by at least corresponding MAC address (item 132 of FIG 11 and Col 11 lines 14-16);

when it is determined that said received MAC source address is not stored in said address table determining whether information corresponding to said received MAC source address is stored in said anti-hacker table (item 220 of FIG 12 and Col 11, lines 62-64); and

when it is determined that said received MAC source address is stored in an anti-hacker table, modifying an access vector in said MAC source address to a security key, to thereby store the modified address in the said address table, said anti-hacker table comprising information pertaining to a plurality of said client nodes and a plurality of server nodes of a network, wherein each server node is identified by at least corresponding MAC address (item 320 of FIG 13 and Col 13 lines 34-36 / setting the filter in Holloway system perform the task of setting security by defining which MAC addresses are allowed or denied access to the destination MAC addresses).

Holloway teaches obtaining the destination MAC addresses through the discovery phase (item 145 of FIG. 10 and item 131 of FIG 11) but Holloway doesn't explicitly teach Reading a MAC destination address and a MAC source address included in the received packet data. However Sofer discloses a MAC address-based communication access control method (Col 3, lines 49-52). Where he teaches the using of a MAC address stripper to extract the source and destination MAC addresses from a packet Col 4, lines 13-22). therefore it would have been obvious to one ordinary skilled in the art at the time the invention was made to modify Holloway's invention with the teachings of Sofer to include a MAC stripper to extract the MAC destination and source addresses from the received packets. One would be motivated to do so in order to provide the system with ability to determine where did the packet come form and where the packet is headed to and if it's headed to a protected destination. Detecting In an address table, access vectors corresponding to the MAC destination and source address (FIG 6 and Col 9, Lines 49-51 with Col 3, lines 7-9 ! Holloway teaches using combination of data structures AAL (access authorization List) and ICD (interconnected device list) the ICD will contain information on connected MAC addresses to the specific Managed hub while the AAL will contain the security access control information for each device. The combination of those two will perform the same function as the address table) Denying access if the access vectors of the MAC destination and source addresses are not matched (Col 3, Lines 9-11; if the managed hub detects an unauthorized station connecting to the LAN the hub disables the port disabling the port on the hub will perform the step of denying access).

As per claim 26: Holloway discloses a MAC (media access control) address-based communication restricting packet switch comprising:

- a plurality of MAC ports (Col 4, lines 67 through Col 5, lines line 1);
- a data exchange for establishing paths of packet data between MAC ports;
- a packet memory storing an address table having access vector indicating whether allowance for access of client nodes is made or not, wherein each client node is identified by at least corresponding MAC address (FIG 6 and Col 9, Lines 49-51 with Col 3, lines 7-9) said port table storing information about a current status of the packet switch, port attributes and enable/disable, and packet reception completion of each MAC port (Col 11, lines 44-50) and said address table storing registered MAC addresses, destination access vectors corresponding to destination MAC addresses of said registered MAC addresses (FIG 6 and Col 9, Lines 49-51 with Col 3, lines 7-9);
- a transmission/reception controller controlling data exchange (Col 5, lines 2-12);
- wherein said transmission/reception transmits said received packet data to a MAC destination address when said received MAC source address is stored in said address table and if an access vector corresponding to said received MAC source address is matched with an access vector corresponding to said received MAC source address (Col 3, Lines 9-11),
- denies access if said access vectors of said received MAC destination and source addresses do not match (Col 3, Lines 9-11; if the managed hub detects an

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unauthorized station connecting to the LAN the hub disables the port disabling the port on the hub will perform the step of denying access).

As per claim 27: Holloway discloses a MAC address-based communication restricting packet switch communication method as set forth in claim 26,

when said received MAC source address is not stored in the address table, and if information corresponding to the received MAC source address is stored in an anti-hacker table, modifying an access vector in said MAC source address to a security key, to thereby store the modified address in the said address table, wherein said anti-hacker table comprises information pertaining to a plurality of client nodes and a plurality of server nodes, wherein each server node is identified by at least corresponding MAC address (item 320 of FIG 13 and Col 13 lines 34-36 / setting the filter in Holloway system perform the task of setting security by defining which MAC addresses are allowed or denied access to the destination MAC addresses).

As per claim 28: Holloway discloses a MAC address-based communication restricting packet switch communication method as set forth in claim 27, wherein said transmission/reception controller adds a port number, corresponding to the MAC port through which said data packet was received, to a storage area corresponding to said received MAC source address in said anti-hacker table (item 265 of FIG 12 and Col 12 lines 17-20).

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Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kaveh Abrishamkar whose telephone number is 571-272-3786. The examiner can normally be reached on Monday thru Friday 8-5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz Sheikh can be reached on 571-272-3795. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

KA
06/10/2006

A handwritten signature in black ink, appearing to be 'J. M. ...', is written over the date stamp.